

Under the Paperwork Reduction Act of 1994, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2003\_0813

First Named Inventor : Akihiro TASAKA et al.

Title: HETEROCYCLIC COMPOUNDS, THEIR PRODUCTION AND USE

Express Mail Label No.:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2.  Small Entity Status is hereby asserted.

3.  Specification *(preferred arrangement set forth below)*  
 - Descriptive title of the Invention  
 - Cross References to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to Sequence Listing, a table, or a computer program listing appendix.  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings *(if filed)*  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) *(35 USC 113)* *(Total Sheets: 1)*

5.  Oath or Declaration *(Total Pages : 3)*  
 a.1.  Newly executed (original or copy)  
 a.2.  Unexecuted  
 b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet (see 37 CFR 1.76)

ADDRESS TO: Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or computer program *(Appendix)*

8.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*  
 a.  Computer Readable Form  
 b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  Paper  
 c.  The paper and computer readable copies are identical

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))  
 10.  37 CFR 3.73(b) Statement *(when there is an assignee)*  
 Power of Attorney  
 11.  English Translation Document *(if applicable)*  
 12.  Information Disclosure Statement (IDS)/PTO-1449  
 Copies of IDS Citations  
 13.  Preliminary Amendment  
 14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*  
 15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*  
 16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
 Applicant must attach form PTO/SB/35 or its equivalent.  
 17.  Other - Claim of Priority

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. 09/889,974

Prior Application Information: Examiner Rebecca L. Anderson      Group Art Unit 1626

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By: Warren M. Cheek, Jr.  
 Warren M. Cheek, Jr.  
 Registration No. 33,367

WENDEROTH, LIND & PONACK, L.L.P.  
 2033 "K" Street, N.W., Suite 800  
 Washington, D.C. 20006-1021  
 Phone: (202) 721-8200  
 Fax: (202) 721-8250

July 17, 2003

21904 U.S. PTO  
210/620706

07/17/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Akihiro TASAKA et al.

Serial No. NEW

Filed July 17, 2003

: Attn: APPLICATION BRANCH

: Attorney Docket No. 2003\_0813

HETEROCYCLIC COMPOUNDS, THEIR  
PRODUCTION AND USE

(Rule 1.53(b) Divisional of Serial No. 09/889,974,  
Filed July 24, 2001)

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1,128.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$750.00

Additional Claims Fee:

Excess of Twenty ..... \$378.00

Independent ..... \$

Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Akihiro TASAKA et al.

By Warren Cheek  
Warren M. Cheek, Jr.  
Registration No. 33,367  
Attorney for Applicants

WMC/dlk

WENDEROTH, LIND & PONACK, L.L.P.

2033 K St., N.W., Suite 800

Washington, D.C. 20006-1021

Telephone (202) 721-8200

July 17, 2003

[Check No. 54730]  
2003\_0813